

Locally Advanced and Metastatic Pancreatic Cancer: Survival analysis and prognostic factors in a case series

Câncer pancreático localmente avançado e metastático: Análise de sobrevivência e fatores prognósticos em uma série de casos

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ABSTRACT

Objective: Systemic treatment of advanced pancreatic adenocarcinoma has resulted in increased survival of patients, but real-life data are scarce, particularly in developing countries. This study aims to analyze the survival of patients with locally advanced, unresectable, or metastatic pancreatic cancer and factors related to better survival. **Methods:** an analytical study with a retrospective and prospective part of data from patients with locally advanced or metastatic pancreatic adenocarcinoma treated between January 2012 to December 2018 in the oncology department of the Real Hospital de Beneficência Portuguesa, in Recife (Brazil). **Results:** Thirty-five patients were assessed. The median age was 68 years old (71.4% = 65 years old), the majority was male (65.7%), with Eastern Cooperative Oncology Group (ECOG) 0 and 1 (65.7%) and metastatic disease (68.6%). The median overall survival was 13.93 months and was longer for patients with ECOG 0 or 1 (20.4 months; $p=0.021$), Neutrophil-lymphocyte ratio (NLR) <4 (15.63 months; $p=0.029$) and who received local therapy (23.68 months; $p=0.006$). **Conclusion:** An overall median survival, similar to that of other clinical studies, was observed. Such survival was even better in patients with a good clinical condition (ECOG 0 or 1), low NLR, and who received some local therapy.

Keywords: Survival Analysis. Prognosis. Antineoplastic Combined Chemotherapy Protocols. Pancreatic Neoplasms. Adenocarcinoma

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RESUMO

Objetivo: O tratamento sistêmico do adenocarcinoma pancreático avançado resultou em aumento da sobrevida dos pacientes, mas os dados da vida real são escassos, principalmente em países em desenvolvimento. Este estudo tem como objetivo analisar a sobrevida de pacientes com câncer de pâncreas localmente avançado, irresssecável ou metastático e fatores relacionados a uma melhor sobrevida. **Métodos:** estudo analítico com parte retrospectiva e prospectiva de dados de pacientes com adenocarcinoma pancreático localmente avançado ou metastático atendidos entre janeiro de 2012 a dezembro de 2018 no serviço de oncologia do Real Hospital Português, Recife, PE, Brasil. **Resultados:** Trinta e cinco pacientes foram avaliados. A mediana de idade foi de 68 anos (71,4% = 65 anos), a maioria era do sexo masculino (65,7%), com Eastern Cooperative Oncology Group (ECOG) 0 e 1 (65,7%) e doença metastática (68,6%). A sobrevida global mediana foi de 13,93 meses e foi mais longa para pacientes com ECOG 0 ou 1 (20,4 meses; $p = 0,021$), proporção de neutrófilos-linfócitos (NLR) <4 (15,63 meses; $p = 0,029$) e que receberam terapia local (23,68 meses; $p = 0,006$). **Conclusão:** Foi observada uma sobrevida global mediana, semelhante à de outros estudos clínicos. Essa sobrevida foi ainda melhor em pacientes com bom estado clínico (ECOG 0 ou 1), baixo nível de NLR e que receberam alguma terapia local.

Descritores: Análise de sobrevivência. Prognóstico. Antineoplastic Combined Chemotherapy Protocols. Neoplasias pancreáticas. Adenocarcinoma

INTRODUCTION

Pancreatic cancer represents the seventh leading cause of cancer mortality in the world, and the second leading cause of cancer death in the United States¹. Most patients with such neoplasm present at an advanced stage of the disease at diagnosis, approximately 50% with metastatic disease, and 25% to 35% with borderline or locally advanced disease². Gemcitabine plus nab-paclitaxel or FOLFIRINOX represent the first line of chemotherapy treatment in locally advanced and metastatic disease with median overall survival of 8.5 and 11.1 months, respectively^{3,4}. A limitation to be considered concerning randomized controlled trials is the fact that their eligibility criteria are stringent and, as a result, only a small portion of patients with advanced pancreatic cancer is considered able to participate in the studies. Not surprisingly, such a highly select study population generally does not accurately reflect the population of patients in need of treatment⁵.

Due to the low survival associated with this neoplasia, different research has directed efforts to identify predictive markers that help to optimize the treatment. Among them, the carbohydrate antigen 19-9 (CA19-9), the performance index evaluated by the ECOG (Eastern Cooperative Oncology Group), age, local therapies, and the status of chronic inflammation represented by the neutrophil/lymphocyte (NLR) and platelet/lymphocyte (PLR) ratio^{6,7}.

These last two have been particularly studied due to the advance in the knowledge of immunology in cancer. The NLR is calculated from the absolute values of neutrophils and lymphocytes obtained through the blood count. Many studies indicate that

a low NLR (usually less than four or five) is associated with better survival in patients with metastatic pancreatic cancer^{8,9}. PLR (platelet/lymphocyte ratio), calculated from the absolute values of platelets and lymphocytes, was also an unfavorable predictor of overall survival and progression-free survival in patients with pancreatic cancer¹⁰.

Finally, has been studied if local therapy can improve survival in patients with locally advanced pancreatic cancer. A series of cases from a retrospective study by the Johns Hopkins group, for example, showed that patients with locally advanced pancreatic cancer who underwent radiation therapy, at some point in their treatment, had a median overall survival of 13.7 months and 61% disease control in one year¹¹ and two other studies that used radiotherapy in patients with locally advanced disease reached a median overall survival of 15 months and 12.2 months, respectively^{12,13}. Nevertheless, a large randomized phase III study LAP07 did not show any benefit for radiotherapy being added to chemotherapy⁴.

The present study evaluated the clinical and epidemiological characteristics and the factors that influenced the survival of patients with metastatic and unresectable locally advanced pancreatic adenocarcinoma, treated in a private reference hospital for the treatment of cancer in the Brazilian state of Pernambuco.

METHODOLOGY

Study design

Ours is a longitudinal analytical study with a retrospective and prospective part.

Study population

Patients with histological diagnosis of locally advanced or metastatic pancreatic adenocarcinoma who received chemotherapy treatment were selected from the medical archives of the Real Instituto de Oncologia of Hospital Português. The period of diagnosis and treatment was between January 2012 and December 2018, with a minimum of 12 months of follow-up. All patients ongoing active therapy or best supportive care between July 2019 to December 2019 was included in the analyses and represents the prospective part of the study, if they were alive and have completed 12 months of follow-up until December 2019.

Study location and period

Clinical oncology service of the Real Hospital Português and data collected from electronic medical records from July 2019 to December 2019.

Eligibility criteria

Adult patients (> 18 years old)

Histological diagnosis of pancreatic adenocarcinoma Stage III or IV

Patients who underwent at least one chemotherapy treatment session

Medical data on treatment and clinical follow-up available in medical records Minimum of 12-month follow-up period

Exclusion criteria

Patients without the complete data required for the study

Loss of continued service follow-up

Another active tumor (except non-melanoma skin cancer)

Statistical analysis

To characterize the studied sample, the relative (percentage) and absolute (N) frequencies of the classes of each qualitative variable were calculated. For quantitative variables, averages and medians were used to summarize the information, and standard, minimum and maximum deviations to indicate data variability. The level of significance assumed is 5%. Statistical analyzes were performed using SPSS – Statistical Package for Social Sciences, version 21.0 (IBM, Armonk, NY).

For survival analysis, death was considered an event of interest. Initially, we calculated the survival probabilities for the total number of patients using the Kaplan-Meier method. Such a method allows the survival curve to be constructed with estimates of the probabilities of survival as a function of the follow-up time. Thus, it is possible to know the chance that a patient will survive “so many” months after the diagnosis. These estimates are independent of the patient’s condition; that is, information about other measures of the patient is not being

considered here. To assess the influence of these variables, such as Clinical Staging, ECOG, NLR and PLR, Kaplan-Meier curves were constructed for the categories of each qualitative variable. In this type of analysis, a significance test is performed for each variable that indicates whether the variable in question influences the patient’s survival. To compare the curves, we used the Log-Rank test. The results (graphs and test results) are shown below.

ETHICAL ASPECTS

The research was approved by the Human Research Ethics Committee of Pernambuco’s Real Hospital Português de Beneficência. Opinion Number: 3.705.999.

RESULTS

Clinical-demographic characteristics

Forty-three medical records of patients diagnosed with locally advanced or metastatic pancreatic adenocarcinoma were identified in the oncology department. Eight patients were treated in another center and therefore excluded due to loss of follow-up. Finally, thirty-five patients met all inclusion and exclusion criteria. Most patients were diagnosed between 2016 and 2018 (n=31). The average age was 68 years old, and greater than 70% of patients were older than 65 years of age. Other clinical, demographic and laboratory characteristics are shown in Table 1.

Survival analysis

The median survival for our entire cohort was 13.39 months, as shown in Figure 1 (below).

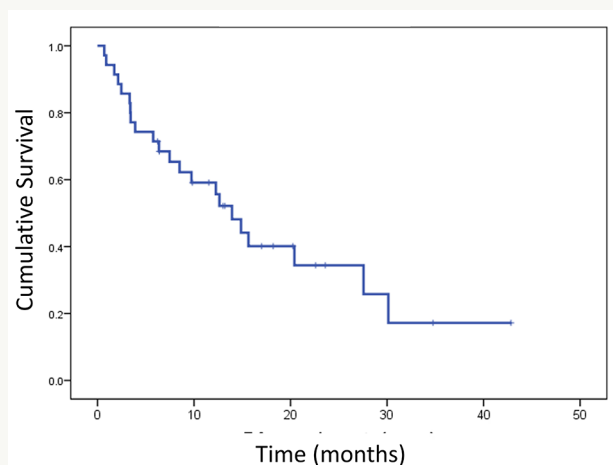
Table 2 shows survival as a function of clinical and laboratory variables analyzed, and figures 2-4 represent the survival curves according to the variables that show a clinically significant difference, which were ECOG, NLR and local therapy.

Of the 35 treated patients, 11 had locally advanced disease, and 24 had metastatic disease at diagnosis. In locally advanced disease, FOLFIRINOX was the first line of treatment in nine patients (81%). Twenty-eight patients (24 with initial metastatic disease and 4 with locally advanced disease who developed metastases) received first-line therapy. FOLFIRINOX (18 patients, 64.3%), Gemcitabine plus nab-paclitaxel (5 patients, 18%), and gemcitabine monotherapy (4 patients, 14%) were the most widely used chemotherapy regimens. The median survival for first-line patients who received FOLFIRINOX was 12 months (n=27), and those who received gemcitabine + nab-paclitaxel was 13 months (n=5). Seventeen patients (70.8%) with metastatic disease received a second line of chemotherapy treatment. Gemcitabine plus nab-paclitaxel and gemcitabine alone were used as second-line in 41% and 29% of the patients, respectively.

Between ECOG 2 patients (n=11) the first line therapy was gemcitabine alone (n=5), FOLFIRINOX (n=4), and gemcitabine plus nab-paclitaxel (n=2),

Table 1. Clinical and demographic characteristics of patients with locally advanced and metastatic pancreatic cancer.

Clinical Demographics Characteristics	N (%)
Age (years)	
Mean	68.9; SD \pm 10.3
Median	68
Range	44 – 85
Age (years)	
< 65	10 (28.6)
\geq 65	25 (71.4)
Gender	
Male	23 (65.7)
Female	12 (34.3)
ECOG	
0 and 1	23 (65.7)
2 and 3	12 (34.3)
Clinical Staging	
Locally advanced	11 (31.4)
Metastatic	24 (68.6)
NLR	
< 4	21 (61.8)
\geq 4	13 (38.2)
Unknown	1
PLR	
< 150	14 (41.2)
\geq 150	20 (58.8)
Unknown	1
CA 19.9	
< 250	16 (48.5)
\geq 250	17 (51.5)
Unknown	1

**Figure 1.** Median Survival of the entire cohort (n=35 patients; Median survival 13.93 months [95% CI; 9.96 - 17.90])

only three patients received a second line with was gemcitabine plus nab-paclitaxel (n=1), FOLFOX (n=1) and fluorouracil plus leucovorin (n=1). ECOG 3 patient (n=1) received FOLFIRINOX as first line followed by gemcitabine as monotherapy.

DISCUSSION

The present study observed a median overall survival of 13.93 months in patients with unresectable and metastatic locally advanced pancreatic adenocarcinoma—results superior to those found in the two main studies in this group of patients. Those studies observed a higher overall survival for FOLFIRINOX (11.1 months) and the combination gemcitabine plus nab-paclitaxel (8.5 months) in the first line of treatment when compared with gemcitabine alone^{3,4}, while our sample had a median survival of 12 months and 13 months, respectively. A study conducted at Instituto do Câncer do Estado de São Paulo, involving 61 patients with advanced pancreatic adenocarcinoma, 31 of whom with metastatic disease, had similar survival to that found in our series¹⁵.

The ability to predict poor survival for patients with a disease as severe as pancreatic cancer is essential in the initial management before treatment to select patients most likely to receive polychemotherapy such as FOLFIRINOX and gemcitabine plus nab-paclitaxel. For that, possible factors associated with worse survival were evaluated, such as age, disease stage, ECOG, NLR, PLR, CA 19-9, and the use of local therapy.

The median age observed was 68 years old, above that reported in the PRODIGE and MPACT studies, which were 61 and 62 years old, respectively^{3,4}. In the present study, we did not note a difference in overall survival when considering the age of 65 years (p=0.27) or 75 years (p=0.16). It's known that in older patients with numerous comorbidities, the treatment of advanced malignancy, such as pancreatic cancer, may not result in clinical benefit and increase the risk of toxicities, clinical decompensation and undertreatment, leading to worse overall survival¹⁶. Despite this, age has not been a predictor of worse survival in patients selected for clinical trials^{7,17}.

Our sample did not show any difference in overall survival when comparing the locally advanced and metastatic clinical stages (13.9 months x 14.8 months; p=0.653). However, despite data in the literature pointing to better survival in locally advanced disease¹⁷⁻¹⁹, such survival is similar to that observed in our patients.

About one-third of our population had ECOG 2 (n=11, 31.4%), which is different from that observed in clinical studies, where the majority of patients are recruited with better performance. Therefore, more real-life studies are essential to assess the impact of treatments on clinical practice. In the MPACT study 8% of the patients included had ECOG 2 and this group of patients had a worse survival when compared with those with better ECOG^{6,7}. Our sample showed a median overall survival of 3.9 months that is similar

Table 2. Median survival according to the analyzed variables.

Variable	N	Median survival (months)	Deaths	95% IC	p-value
Age (years)					
<65	10	14.87	05	5.58 – 24.15	0.270
≥65	25	13.93	17	6.25 – 21.61	
Clinical Staging					
Locally Advanced	11	13.93	7	7.88 – 19.99	0.653
Metastatic	24	14.87	15	6.29 – 23.44	
ECOG					
0 – 1	23	20.4	13	9.05 – 31.74	0.021
2 – 3	12	3.9	9	1.00 – 11.72	
NLR					
< 4	21	15.63	11	2.17 – 29.10	0.029
≥ 4	13	8.5	10	0.39 – 16.61	
PLR					
< 150	14	20.4	8	2.42 – 38.38	0.436
≥ 150	20	12.27	13	6.87 – 17.67	
CA 19-9					
< 250	16	15.01	7	9.22 – 18.77	0.519
≥ 250	17	13.93	13	5.91 – 21.96	
Local therapy*					
Yes	07	23.68	2	11.23 – 35.17	0.006
No	28	9.73	20	1.85 – 17.1	

ECOG (Eastern Cooperative Oncology Group. ECOG 0, n =1; ECOG 1, n =22; ECOG 2, n =11; ECOG 3, n =1); NLR (neutrophil / lymphocyte ratio, n=34); PLR (platelet / lymphocyte ratio, n=34); CA 19-9 (carbohydrate antigen 19-9, n=33); * Local therapy (n=7 [4 patients with locally advanced disease and 3 patients with metastatic disease) ; radiotherapy, n=6; radioablation, n=1); for survival analysis, the Kaplan-Meier model was used and comparisons were assessed using the Log-Rank test. The p value was considered statistical when p <0.05.

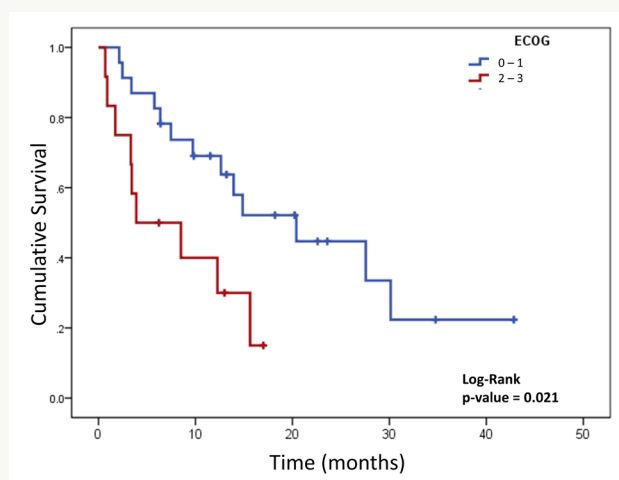


Figure 2. Median survival according to ECOG performance status. ECOG 0 and 1: 13 deaths, median survival 20.4 months (95% CI, 9.05 - 31.74); ECOG 2 and 3: 9 deaths, median survival 3.9 months (95% CI, 1.00 - 11.72).

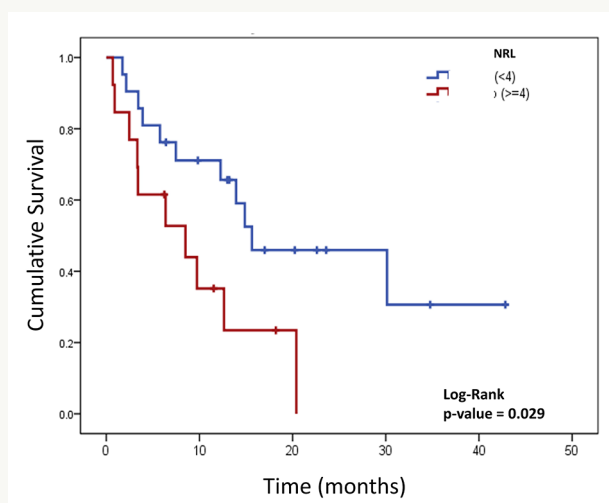


Figure 3. Median survival according to Neutrophil/lymphocyte ratio (NLR). NLR < 4 : 11 deaths, median survival 15.63 months (95% CI, 2.17 - 29.10); NLR > ou = 4: 10 deaths, median survival 8,5 months (95%, CI 0.39 - 16.61).

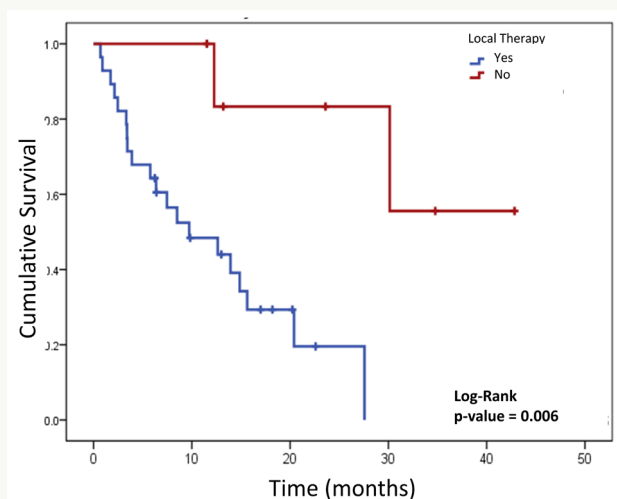


Figure 4. Median survival according to local therapy use during treatment. Local therapy group: 2 deaths, median survival 23.68 months (95% IC, 11.23 - 35.17); Non local therapy group: 20 deaths, median survival 9.73 months (95% IC, 1.85 - 17.61). Local therapy used was radiation therapy or radiofrequency ablation (4 patients with metastatic disease and 3 patients with locally advanced disease).

to that observed in the MPACT study for the group of ECOG 2 patients who received gemcitabine plus nab-paclitaxel. Likewise, patients with better performance status also had better overall survival (20.4 months x 3.9 months; $p=0.021$).

Another prognostic factor that has been widely studied is the inflammatory factor. The search for markers of systemic inflammation measured by pretreatment NLR and PLR is a prognostic factor in several studies, which point to a worse survival outcome in patients with elevated NLR and PLR⁸⁻¹⁰. In our series, a cutoff point indicated by the literature was used and it was observed that patients with an NLR of less than four survived almost twice as long when compared with those with an NLR greater than or equal to four (15.6 months x 8.5 months; $p=0.029$). However, concerning PLR, when using the cut-off point of 150, as suggested in the literature¹⁰, no difference in overall survival was shown.

The CA 19-9 marker is also studied as a prognostic factor for pancreatic cancer, with contradictory results and variable cut-off points. In some studies, a high value before treatment predicts advanced disease, unresectability, recurrence, and worse overall survival²⁰⁻²³. On the other hand, Taberner et al. studied this marker as a prognostic factor in patients receiving nab-paclitaxel and gemcitabine and found no correlation with survival⁷. In the present study, the median value of CA 19-9 was 256.98 U/ml. Values above or below the standard reference (37 U/ml, data not shown) or close to the median, 250 U/ml, were analyzed as cut-off points and were not related to overall survival ($p=0.262$ and $p=0.519$, respectively).

With regard to local therapy, some studies indicate better survival in patients with advanced disease

who receive local treatment (radiotherapy or radioablation) at some point in their treatment^{11-13,24}. Local treatment was used in seven patients in our study, radiotherapy ($n=6$) and radioablation ($n=1$), during the treatment of locally advanced ($n=4$) or metastatic ($n=3$) disease. These patients survived significantly longer when compared to those who did not ($p=0.006$). Despite our results, a large randomized trial LAP07, did not show any benefit for the use of radiation in this setting¹⁴.

As limitations for the present study, we can mention the retrospective and single-centered design and the small number of participants. On the other hand, our study presents information from our daily clinical practice with survival data similar to those observed in phase III clinical studies.

In conclusion, good clinical condition (ECOG 0 or 1), low NLR, and local therapy are associated with better survival in univariate analysis, suggesting their clinical usefulness and applicability in the treatment of locally advanced or metastatic pancreatic cancer.

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